

Item 1 Form ADV Part 2B Brochure Supplement – David M. Schlossberg

David M. Schlossberg,
President Personal CRD
#3038857

Assured Advisory Group, LLC
Firm CRD #316449



Assured
Advisory Group, LLC.
A Registered Investment Advisor

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This brochure supplement provides information about David M. Schlossberg that supplements the Assured Advisory Group, LLC brochure. You should have received a copy of that brochure. Please contact Stacey A. Lech, Chief Compliance Officer if you did not receive Assured Advisory Group, LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about David M. Schlossberg, CRD #3038857 is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 Educational Background/Business Experience

David M. Schlossberg, born 1959, graduated from Boston University from their Financial Planning Program in 2006. Mr. Schlossberg is the President of Assured Advisory Group, LLC as of August, 2021 to Present. He is also the President of Assured Concepts Group, Ltd. for the sale of insurance products, from February 1997 to Present. Mr. Schlossberg, is also the owner of Tax Plan for Wealth, Inc. that offers tax preparation and planning services, from November 2018 to Present. He is also an Investment Advisor Representative with Assured Advisory Group, LLC from August 2021 to present. Mr. Schlossberg is also a Registered Representative with Purshe Kaplan Sterling from December 2021 to present. Mr. Schlossberg's prior employment is as follows: He was an Investment Advisor Representative with National Asset Management from September 2020 to December 2021; He was a Registered Representative with National Securities Corporation From September 2020 to December 2021; He was a Registered Representative with FSIC from January 2010 to September 2020.

Mr. Schlossberg has held the designation of Registered Financial Consultant (RFC) since 2006. The RFC designation is awarded by the International Association of Registered Financial Consultants (IARFC) to financial advisors who meet high standards of education, experience and integrity. Prior to obtaining the RFC designation, a candidate must attain either a professional designation (i.e. Chartered Life Underwriter, Chartered Financial Consultant and Certified Financial Planner) or have earned a bachelor or graduate degree in financial planning, have four (4) years of experience, pass an examination and subscribe to the IARFC Code of Ethics. The comprehensive RFC examination covers a wide range of subject matter: Principles of Personal Finance, Debt and Cash Flow Management, Employee and Government Benefits, Annuities, Securities, Investments and Asset Allocation, Life, Health and Casualty Insurance, Education and Special Needs Funding, Estate Planning, Survivor Income Needs Analysis, and Retirement Income. In addition, designees must agree to devote a minimum of forty (40) hours per year to continuing professional education in the field of personal finance and professional practice management.

Mr. Schlossberg has held the designation of Accredited Investment Fiduciary® (AIF®) since 2011. The AIF designation certifies that the recipient has specialized knowledge of fiduciary standards of care and their application to the investment management process. To receive the AIF designation, individuals must complete a training program, successfully pass a comprehensive, closed-book final examination under the supervision of a proctor and agree to abide by the AIF Code of Ethics. In order to maintain the AIF designation, the individual must annually renew their affirmation of the AIF Code of Ethics and complete six hours of continuing education credits. The certification is administered by the Center for Fiduciary Studies, LLC (a Fiduciary360 (fi360) company).

Mr. Schlossberg has held the designation of Certified 401k Professional® [C(k)P] since 2012 as awarded by The Retirement Advisor University in collaboration with UCLA Anderson School of Management Executive Education. The Retirement Advisor University grants the C(k)P, a new designation that distinguishes financial professionals who have demonstrated the knowledge and ability to positively affect the retirement outcome for participants in company retirement plans. This designation is awarded to financial professionals who complete a

rigorous curriculum of more than 50 courses, a proctored examination, write a case study detailing real examples of how they are utilizing knowledge from these courses, and attend intensive classroom training at UCLA Anderson in Los Angeles. The C(k)P is one of the most time intensive designations for financial professionals. To attain the C(k)P takes approximately 12-24 months and includes on-campus sessions at UCLA Anderson Executive Education, online courses, mentoring, completion of numerous tests, extensive exams and submission of a case study demonstrating the application of knowledge learned.

Mr. Schlossberg has obtained the Enrolled Agent – IRS Certification and is able to represent taxpayers before the Internal Revenue Service as a result of his passing a three-part comprehensive IRS test as well as a suitability check. This certification has continuing education requirements that the individual must obtain 72 hours of continuing education every three years; obtain a minimum of 16 hours per year (2 of which must be on ethics).

Mr. Schlossberg has obtained the National Social Security Advisor Certificate (NSSA), accreditation provided by the Institute for Credentialing Excellence. An individual completes an educational course and must pass an online proctored assessment, achieving a minimum assessment score of 79%. Ongoing, the individual pays a renewal fee and completes eight hours of continuing education.

Item 3 Disciplinary Information

There are no legal, regulatory or disciplinary events involving the Advisor or any of its employees. The Advisor and its advisory personnel value the trust you place in us. As we advise all Clients, we encourage you to perform the requisite due diligence on any advisor or service provider in which you partner. Our backgrounds are on the Investment Adviser Public Disclosure website at <http://adviserinfo.sec.gov>. To review the firm information contained in ADV Part 1, select the option for Investment Adviser Firm and enter CRD NO. in the field labeled "Firm IARD/CRD Number". This will provide access to Form ADV Parts 1 and 2. Item 11 of the ADV Part 1 lists legal and disciplinary questions. You may also research the background of David M. Schlossberg by selecting the Investment Adviser Representative and entering David M. Schlossberg Individual CRD#3038857 in the field labeled "Individual CRD Number".

Description of a professional attainment, designation, or license being revoked or suspended.

Not applicable to Mr. Schlossberg.

Item 4 Other Business Activities

David M. Schlossberg, President of the Advisor is also the owner of Tax Plan for Wealth, Inc. that offers tax preparation and planning services. Clients of the Advisor may be solicited for the services of Tax Plan for Wealth, Inc. This creates a conflict of interest. A conflict of interest exists because of the receipt of compensation by Mr. Schlossberg as the President of the Advisor and the owner of the affiliated entity, Tax Plan for Wealth, Inc. Clients always have the right to

decide whether to utilize the tax services recommended by Mr. Schlossberg. In recommending tax services, Mr. Schlossberg will always act in the client's best interest under his fiduciary duty. Clients are not obligated to use Tax Plan for Wealth, Inc. or its representatives for tax services. Mr. Schlossberg will spend approximately 20 hours per month of his time on tax related activities.

Mr. Schlossberg is a registered representative with Purshe Kaplan Sterling Investments, CRD #35747, SEC #8-46844, a FINRA registered broker-dealer. Clients who use the services of Mr. Schlossberg as a registered representative will incur transactional costs in addition to the management fee for advisory services. This creates a conflict of interest. Mr. Schlossberg addresses this conflict of interest by disclosing to his clients prior to initiating any transactional related business that by utilizing him or his broker dealer firm in this capacity the client will incur additional expenses. Clients always have the right to select the registered representative they want to work with when purchasing products. Clients are not obligated to utilize Mr. Schlossberg or the broker dealer firm in this capacity.

Mr. Schlossberg, Investment Advisor Representative for the Advisor is also the President of Assured Concepts Group, Ltd. and is licensed and registered as an insurance agent to sell life, long term care and other lines of insurance for various insurance companies. Therefore, he will be able to purchase insurance products for any client in need of such services and will receive separate, yet typical compensation in the form of commissions for the purchase of insurance products. This creates a conflict of interest. A conflict of interest exists because Mr. Schlossberg can recommend products for which he can receive additional compensation, i.e. insurance. Clients always have the right to decide whether to purchase insurance recommended by Mr. Schlossberg and if they do purchase insurance, clients have the right to decide from whom to purchase insurance. In recommending insurance products, Mr. Schlossberg will always act in the client's best interest under his fiduciary duty. Clients are not obligated to use the Advisor or its representatives for insurance product services. Mr. Schlossberg will spend approximately 10 hours per month of his time on insurance related activities.

Item 5 Additional Compensation

See the response to Item 4.

Item 6 Supervision

Stacey A. Lech, Chief Compliance Officer, monitors the investment advisory activities, personal investing activities, and adherence to the Advisor's compliance program and code of ethics of the Advisor's supervised persons on a continuous basis using various methods, including periodic inspection and review of client securities positions and transaction activity, obtaining certifications of compliance with company policies and procedures from those supervised, and obtaining and reviewing brokerage statements or transactions and holdings reports of the supervised persons. To provide adequate oversight of the Advisor's personnel, David M. Schlossberg, President, will provide the same oversight activities over the Chief Compliance Officer. Stacey A. Lech can be reached at (847) 426-1077.

Item 7 Requirements for State-Registered Advisers

Mr. Schlossberg has not been involved in an award or found liable in an arbitration claim, civil, or self-regulatory organization event or administrative proceeding, or been the subject of a bankruptcy petition.